SUBSTITUTE FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 ILS.C. 371

ATTORNEY'S DOCKET NUMBER

18155-002US1

		CONCERNING A FILING	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)							
	·	CONCERNING A FILIN		10/508398						
		ATIONAL APPLICATION NO. 12004/000150	INTERNATIONAL FILING DATE 11 February 2004	PRIORITY DATE CLAIMED 11 February 2003						
	TITLE OF INVENTION MANAGEMENT CONTROL OF PHARMACEUTICAL SUBSTANCES									
	APPLICANT(S) FOR DO/EO/US Craig Gregory Smith and Robert Richards									
	•	icant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1.	_		concerning a filing under 35 U.S.C. 371.	25110 (2.27)						
2.			T submission of items concerning a filing under 3 ional examination procedures (35 U.S.C. 371(f)).							
3.	_	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	\boxtimes	The US has been elected (Article 31).		1						
5.	\boxtimes									
		a. is attached hereto (required ob. has been communicated by the	only if not communicated by the International Bu the International Bureau.	reau).						
			ation was filed in the United States Receiving Of	fice (RO/US).						
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
•	•	a. is attached hereto.b. has been previously submitted	ed under 35 U.S.C. 154(d)(4).							
7.			national Application under PCT Article 19 (35 U							
		 a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. 								
		- · · · · · · · · · · · · · · · · · · ·	the International Bureau. er, the time limit for making such amendments ha	as NOT expired.						
		d. have not been made and will								
8.		An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
	Iten	ns 11 to 20 below concern document(s)								
11.	\boxtimes	An Information Disclosure Statement u	ınder 37 CFR 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.	\boxtimes	A preliminary amendment.								
14.		An Application Data Sheet under 37 CI	FR 1.76.							
15.		A substitute specification.		.7						
16.		A power of attorney and/or change of address letter.								
17.		A computer-readable form of the seque	ence listing in accordance with PCT Rule 13ter.2	and 37 CFR 1.821 - 1.825.						
18.		A second copy of the published interna	ational application under 35 U.S.C. 154(d)(4).							
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.		Other items or information: Copy of International Search Report								
		Copy of international bearing report		CERTIFICATE OF MAILING BY EXPRESS MAIL						
			·	CENTIFICATE OF MAILING DT LIMITEDO						

CERTIFICATE OF MAILING BY EXPRESS MAIL Express Mail Label No. EV398158922US Date of Deposit: September 20, 2004

OT04 Rec'd PCT/PTO 2 0 SEP 2004

			L APPLICATION NO. 2004/000150						
21. The following fees a	CALCULATIONS PTO USE ONLY								
Basic National Fee (37 CFR									
Neither international prelimin- nor international search fee (3 and International Search Repo									
International preliminary exar USPTO but International Sear									
International preliminary example but international search fee (3)									
International preliminary exam but all claims did not satisfy p									
International preliminary examand all claims satisfied provision									
EN	TER APPROPRIAT	E BASIC FEE AMOUNT	=	\$1,080.00					
	Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).								
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$0.00					
Total Claims	23 - 20 =	3	x \$18	\$54.00					
Independent Claims	2 - 3 =	0	x \$86	\$0.00					
MULTIPLE DEPENDENT C	LAIMS(S) (if applica		+ \$290	\$290.00					
			CALCULATIONS =	\$1,424.00					
Applicant claims small ent	tity status. See 37 CFF			\$712.00					
			SUBTOTAL =	\$712.00	<u> </u>				
Processing fee of \$130 for fur from the earliest claimed prior			nths	\$0.00					
			L NATIONAL FEE =	\$712.00					
Fee for recording the enclosed accompanied by an appropriat				\$0.00					
	,		FEES ENCLOSED =	\$712.00	1				
		•		Amount to be	\$				
				refunded:	1				
				charged:	\$				
a. A check in the amount of \$712.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPONDENCE TO:									
PTO (Customer No:	20985	SIGNATURE NAME	Scott C. I	Harris				
I	32,030								
I	BER								